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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314				
EXAMINER				
HENNING, MATTHEW T				
ART UNIT		PAPER NUMBER		
2131				
NOTIFICATION DATE		DELIVERY MODE		
07/07/2008		ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary

Application No.

09/754,519

Applicant(s)

SHIBUYA ET AL.

Examiner

MATTHEW T. HENNING

Art Unit

2131

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 May 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 12 and 14-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 12 and 14-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 January 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/C)
- Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

1 This action is in response to the communication filed on 5/5/2008.

2 **DETAILED ACTION**

3 ***Continued Examination Under 37 CFR 1.114***

4 A request for continued examination under 37 CFR 1.114, including the fee set forth in
5 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is
6 eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e)
7 has been timely paid, the finality of the previous Office action has been withdrawn pursuant to
8 37 CFR 1.114. Applicant's submission filed on 4/4/2008 has been entered.

9
10 ***Response to Arguments***

11 Applicant's arguments filed 5/5/2008 have been fully considered but they are not
12 persuasive.

13 Regarding the applicants' argument that because the headphone stereo 401 of
14 Tatebayashi is not connected to the personal computer 500, the buttons on the stereo 401 are not
15 integrally arranged on the case of the personal computer, the examiner does not find the
16 argument persuasive. As has already been discussed in previous communications, Tatebayashi
17 disclosed that that the memory card reader 400 and the memory card writer 300 can be one in the
18 same, and that with the personal computer 500 the user obtains contents from the memory card
19 through the mediation of the access device [that doubles as the memory card writer and the
20 memory card reader] and reproduces the obtained contents, as can be seen in Tatebayashi Col.
21 51 Line 64 – Col. 52 Line 11. Tatebayashi Fig. 2 clearly shows the memory card writer 300 is

1 inserted into memory card writer slot 501 which is integrally arranged on said case of said
2 general-purpose computer. As such, the examiner does not find the argument persuasive.

3 Regarding the applicant's argument that Tatebayashi does not describe that when the
4 headphone stereo 401 reads the external storage card, the processor of the personal computer is
5 in an inactive state, the examiner does not find the argument persuasive. This is due to the fact
6 that the teachings of Chan are directed to that exact scenario, and it is the combination of
7 Tatebayashi and Chan that has been relied upon in rejecting the claims, and not Tatebayashi
8 alone. As such, the examiner does not find the argument persuasive.

9 Regarding the applicant's argument that Tatebayashi does not teach or suggest that "said
10 loading mechanism is configured to read said decoded data based on commands from an external
11 storage card control mechanism integrally arranged on said case of said general-purpose
12 computer, without control of a central processing unit, when said general-purpose computer is in
13 an inactive state", the examiner does not find the argument persuasive. Again, it is the
14 combination of Tatebayashi and Chan which has been relied upon in rejecting the claims, and in
15 this case. In the combination, it is audio subsystem 106 which reads on the "external storage
16 card control mechanism" as claimed. Because the memory card reader/writer and writer slot are
17 part of the audio subsystem 106 in the combination, and because the reader/writer and writer slot
18 are integrally arranged on the case, the audio subsystem 106 is integrally arranged on the case as
19 well. Therefore, the examiner does not find the argument persuasive.

20 Because the arguments have not been found persuasive, the examiner has maintained the
21 rejections previously presented.

Claims 12, and 14-21 have been examined and Claim 1-11, and 13 have been cancelled.

All objections and rejections not set forth below have been withdrawn.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 12, 14-19, and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tatebayashi et al. (U.S. Patent Number 6,859,535) hereinafter referred to as Tate, and further in view of Chan et al. (US Patent Number 6,226,237) hereinafter referred to as Chan.

Regarding claim 12, Tate disclosed a general-purpose computer having a central processing unit which can decode data stored in an internal storage mechanism as instructed by a program stored in said internal storage mechanism (See Tate Col. 8 Lines 31-51), comprising: a loading mechanism, which is integrally arranged on a case of said general-purpose computer, for detachably accommodating an external storage card (See Tate Fig. 2 Elements 501 and 300; note that Tatebayashi teaches that the memory card reader 400 and the memory card writer 300 can be one in the same, as can be seen in Tatebayashi Col. 51 Line 64 – Col. 52 Line 11); a decoding mechanism configured to decode data read from said external storage card (See Tate Col. 8 Lines 31-51 and Fig. 6 Element 460); a reproduction mechanism configured to reproduce decoded data decoded by said decoding mechanism (See Col. 8 Lines 31-51); and said loading mechanism is

1 configured to read said decoded data based on commands from said central processing unit when
2 said general-purpose computer is in an active state (See Tate Col. 52 Paragraph 1), and a cross-
3 authentication mechanism configured to cross-authenticate said external storage card through
4 said loading mechanism (See Tate Col. 11 Lines 3-20); and a control mechanism for supplying
5 copyrighted data read from said external storage card to said reproducing mechanism upon
6 successful cross-authentication by said cross-authentication mechanism (See Col. 8 Lines 44-
7 51), but failed to disclose a power controller that supplies power to said general-purpose
8 computer, wherein said power controller supplies power to said decoding mechanism and said
9 reproduction mechanism even if power of said central processing unit is turned off, and said
10 loading mechanism is configured to read said decoded data based on commands from an external
11 storage card control mechanism integrally arranged on said case of said general-purpose
12 computer, without control of a central processing unit when said general-purpose computer is in
13 an inactive state, or wherein said power controller supplies power to said cross-authentication
14 mechanism and said control mechanism even if power of said central processing unit is turned
15 off.

16 Chan teaches that when computers reproduce audio from an external device, much of the
17 power consumed by the computer is in peripherals not actually being used (See Chan Col. 1
18 Lines 29-37), and that unused portions of the computer, including the CPU, can be powered off
19 (un-energized), and when the CPU is energized the CPU will control the audio playback
20 commands, but when the CPU is not energized, an audio sub-system (106) should remain
21 energized to control the playback of the audio without use of the CPU (See Chan Col. 8
22 Paragraphs 2-3). Chan further teaches the implementation of such a system utilizes an audio

subsystem (106) which includes a power controller that supplies power to said general-purpose computer, wherein said power controller supplies power to said decoding mechanism and said reproduction mechanism even if power of said central processing unit is turned off (See Chan Col. 8 Paragraphs 2-3: wherein the "computer subsystem 104", which includes the CPU as can be seen in Fig. 1, is not energized), and said loading mechanism is configured to read audio data based on commands from an external storage card control mechanism of said general-purpose computer, without control of a central processing unit when said general-purpose computer is in an inactive state (See Chan Col. 10 Line 48 – Col. 11 Line 58), or wherein said power controller supplies power to said cross-authentication mechanism and said control mechanism even if power of said central processing unit is turned off (See Chan Col. 8 Paragraphs 2-3: wherein the "computer subsystem 104", which includes the CPU as can be seen in Fig. 1, is not energized).

Chan further teaches that the audio sub-system 106 should have a track number display and an Icon LCD which the audio subsystem uses to indicate operation (See Chan Col. 6 Lines 52-58).

It would have been obvious to the ordinary person skilled in the art at the time of invention to employ the teachings of Chan within the audio reproduction system of Tate by incorporating the audio subsystem 106 of Chan within the computer system 500 of Tate in order to shut off the power to the idle personal computer while reading the data from the external medium by the content player subsystem, and having a display configured to display operating characteristics of the audio device when the computer is idle. This would have been obvious because the ordinary person skilled in the art would have been motivated to reduce the power consumed by the system. It further would have been obvious to the ordinary person skilled in

1 the art at the time of invention to have employed the teachings of Chan by including control
2 buttons in the audio subsystem. This would have been obvious because the ordinary person
3 skilled in the art would have been motivated to provide a means for controlling the playback of
4 the audio by the audio subsystem.

5 In this combination it would have been obvious to the ordinary person skilled in the art at
6 the time of invention that the CD-ROM Drive 138 of Chan would be replaced with the memory
7 card reader/writer 300 and memory card writer slot 501 of Tatebayashi (which is integrally
8 arranged on the case of the personal computer 500 as can be seen in Fig. 2 of Tatebayashi)
9 within the audio subsystem 106. This would have been obvious because the ordinary person
10 skilled in the art would have recognized that the preferred audio system of Tatebayashi was the
11 memory card reader/writer, and not a CD-ROM drive.

12 In this combination it further would have been obvious to the ordinary person skilled in
13 the art to have energized the card reader/writer and its components, including the mutual
14 authentication unit, while the CPU of the personal computer and other components, which as
15 taught by Chan are not essential to the content reproduction, are not energized. This would have
16 been obvious because the ordinary person skilled in the art would have been motivated to
17 conserve energy while allowing for audio reproduction.

18
19 Regarding claim 14, Tate and Chan disclosed that when said external storage card has
20 been cross-authenticated with said general-purpose computer, an external storage card control
21 mechanism plays copyrighted music data on a portable music playing device by connecting said
22 external storage card to said portable music playing device (See Tate Col. 8 lines 44-51).

Regarding claim 15, Tate and Chan disclosed that in an inactive state in which no electric power is supplied to said general-purpose computer, an external storage card control mechanism reads copyrighted data from said external storage card and supplies said copyrighted data to a portable music playing device (See Tate Col. 8 Lines 44-51 and the rejection of claim 12 above).

Regarding claim 16, see the rejection of claim 12 above.

Regarding claim 17, Tate and Chan disclosed that a function equivalent to a portable music playing device is realized by executing, by a controller of said general-purpose computer, a program stored in said internal storage mechanism of said general-purpose computer (See Tate Col. 1 Lines 29-37 and Col. 8 Lines 31-51 and col. 52 Paragraph 1).

Regarding claim 18, Tate and Chan disclosed that said internal storage mechanism is a hard drive (See Tate Lines 31-34).

Regarding claim 19, Tate and Chan disclosed that said copyrighted data is encrypted copyrighted data (See Tate Abstract).

Regarding claim 21, Tate and Chan taught that said external storage card mechanism has programmable power key functionality (See Chan Col. 11 Lines 55-58).

Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Tate and Chan as applied to claim 12 above, and further in view of Schneier (Applied Cryptography Second Edition).

Regarding claim 20, Tate and Chan disclosed that when said external storage card control mechanism is operated and said central processing unit is in said inactive state, the audio subsystem enters an initialize state (See Chan Col. 11 Lines 55-58), and in the initialize state, the

audio subsystem causes the audio player to play (See Chan Col. 10 Lines 56-67). However, Tate and Chan failed to specifically disclose that in this case "a predetermined software program is executed".

Tate did, however, disclosed that in order to reproduce the encrypted content, the memory card reader and decrypts the encrypted content (See Tate Fig. 8), but Tate is silent as to whether the decryption process is performed using a software program, or whether it was performed using only hardware. Tate did disclose that the decryption occurs in the memory card reader and that the decryption algorithm was pre-stored in the decryption unit (See Tate Col. 10 Lines 23-29 and Col. 16 Lines 49-64 and Col. 14 Lines 14-20).

Schneier teaches that any encryption algorithm can be implemented in software, and that the advantages of doing so are in flexibility and portability, ease of use, and ease of upgrade (See Schneier Page 225).

It would have been obvious to the ordinary person skilled in the art at the time of invention to have employed the teachings of Schneier in the content reproduction system of Tate and Chan, by implementing the pre-stored decryption algorithm in software. This would have been obvious because the ordinary person skilled in the art would have been motivated to provide the decryption with flexibility and portability, ease of use, and ease of upgrade.

Conclusion

Claims 12, 14-21 have been rejected.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MATTHEW T. HENNING whose telephone number is (571)272-3790. The examiner can normally be reached on M-F 8-4.

1 If attempts to reach the examiner by telephone are unsuccessful, the examiner's
2 supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the
3 organization where this application or proceeding is assigned is 571-273-8300.

4 Information regarding the status of an application may be obtained from the Patent
5 Application Information Retrieval (PAIR) system. Status information for published applications
6 may be obtained from either Private PAIR or Public PAIR. Status information for unpublished
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10 like assistance from a USPTO Customer Service Representative or access to the automated
11 information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

12
13 /Matthew T Henning/

14 Primary Examiner, Art Unit 2131